

Japanese irogane alloys and patination – a study of production and application

O'DUBHGHAILL, Coilin <<http://orcid.org/0000-0002-1278-3036>> and JONES, Alan Hywel

Available from Sheffield Hallam University Research Archive (SHURA) at:
<http://shura.shu.ac.uk/971/>

This document is the author deposited version. You are advised to consult the publisher's version if you wish to cite from it.

Published version

O'DUBHGHAILL, Coilin and JONES, Alan Hywel (2009). Japanese irogane alloys and patination – a study of production and application. In: BELL, Eddie, (ed.) Santa Fe Symposium on Jewelry Manufacturing Technology 2009: proceedings of the twenty-third Santa Fe Symposium in Albuquerque, New Mexico. Albuquerque, N.M., Met-Chem Research, 289-324.

Copyright and re-use policy

See <http://shura.shu.ac.uk/information.html>

sharpness when casting and to facilitate the production of a dark patina. An analysis of shiromi as published by William Gowland in 1894⁹ showed copper 72.70%, lead 8.53%, arsenic 11.37%, antimony 4.27%, tin 0.93%, iron 0.13 %, silver 1.33%, sulphur 0.33% and traces of gold. Shakudo alloys as used at Tokyo Geidai are shown in Table 1 below. The Geidai kuromido is listed as 97.1Cu2.9As.

Table 1 Geidai Shakudo

Geidai Shakudo	Best	3bu	2bu	1bu	grape	purple	purple	purple
Gold%	4.76	2.91	1.96	.098	0.5	13.04	16.67	23.08
Copper%	95.24	97.09	95.1	95.1	96.52	86.96	83.33	76.92
Kuromido%	0	0	2.94	2.94	2.99	0	0	0
Silver%	0	0	0	0.98	0	0	0	0

Shibuichi refers to a range of copper-silver alloys. Shibuichi literally translates as a quarter, a reference to the common alloy containing 25% silver. Shibuichi is also referred to as rogin (misty or hazy silver), aptly describing the quality and color of the surface patina. Shiro-shibuichi (white shibuichi) refers to an alloy with a high percentage of silver. Kuro-shibuichi (dark shibuichi) refers to a copper/silver/gold alloy. Shibuichi patinates to a range of colors from light grey to dark grey depending on the alloy. The eutectic structures formed in the alloy are responsible for the unique surface color and texture of shibuichi when patinated. Shibuichi alloys as used at Tokyo Geidai are shown in Table 2.

Table 2 Shibuichi alloys as used at Tokyo Geidai

Geidai Shibuichi	White Shibuichi	White Shibuichi	High Shibuichi	Average Shibuichi
Fine Silver%	60	50	40	25
Copper%	40	50	60	75

TRADITIONAL ALLOY CASTING: YUDOKOBUKI

In traditional Japanese metalwork, the alloys are cast into a cloth-covered mold in a hot water bath, rather than metal or stone molds. This technique is called yudokobuki (cloth mold casting). The alloy metals are melted together in a graphite crucible. The crucible is lined with a paste made from vegetable oil and rice bran, which acts as a reducing agent during the melting process. An additional flux is often added to the melt before pouring. The melted alloy is poured into a heavy cotton mold (sailcloth sewn into a copper frame), which is suspended in a hot water bath. The ingots cast by yudokobuki are then hammered to compress the crystalline structure of the metal. The ingot should be hammered from the outside edge inwards, starting first around the edges, and then hammering towards the center. This minimizes the chance of the edges splitting. Care should

The yudokobuki method is believed to give a better quality alloy than use of modern iron molds. Casting under hot water reduces the formation of surface oxides and slows down the cooling speed of the metal, which is believed to contribute to good working characteristics in the cast ingot.

Recent study by Sekii compared the casting of a shibuichi alloy (75Cu25Ag) and pure copper ingots using yudokobuki at different temperatures.¹¹ Sekii used a charcoal furnace with an electric blower. A graphite crucible was coated with a paste of vegetable oil and rice bran to enhance the reducing atmosphere. Sekii found that a water temperature of 45°C to 80°C (113°F to 176°F), with the surface of the cloth mold at a depth of 9cm, produced the best results. Sekii suggests that the slow cooling process in yudokobuki reduces internal shrinkage, producing ingots with less shrinkage porosity.

NIIRO PATINATION TECHNIQUE

Surface texture

The surface texture of the metal plays an important part in the final color of the metal. For example, rough surface texture, such as a textured hammer surface on copper, produces a dark brown color; planished surfaces on copper result in a brighter red-brown color whereas copper surfaces, which have been polished to a high finish, result in the brightest red-brown colors.

Polishing

Polishing of the alloys is crucial because of surface segregation during casting and surface enrichment during acid cleaning. The top surface layer of the metal is removed to expose the underlying alloy to patination.

Polishing can include initial polishing with grindstones and charcoal, finer polishing with pumice, powdered charcoal, clay powder (tonoko) and powdered calcined deer horn (tsunoko). The charcoal used for polishing is usually magnolia charcoal (rough) and paulownia charcoal (smoother). Tonoko is made from powdered burnt clay or pulverized whetstone and is traditionally used for polishing swords. The piece is finally cleaned with sodium bicarbonate before rinsing vigorously.

Daikon

Grated daikon radish is often applied to the surface of a piece after it has been cleaned and polished and just prior to immersion in the niiro solution. It is believed to prevent unevenness in the growth of the patina during the niiro coloring process, and to prevent fine silver from turning yellow in the solution. It is not understood exactly how the daikon helps in this process.

Hughes and Rowe suggest that chemicals present in the daikon “may have the effect of acting as a mild surface activating agent, while the body of the paste may encourage a more even color by ensuring the initial coloring action is gradual” and also comment that the radish might act similarly to potassium bitartrate, which is used commercially in metal finishing as a ‘holding’ solution in which objects are kept immersed after cleaning and prior to coloring to prevent tarnish.¹²

Previous studies on Daikon, primarily to discern its health benefits, have indicated that it contains isothiocyanates.¹³ Other literature suggests that isothiocyanates are unstable and are precursors to the formation of thiourea.¹⁴ Thiourea is a well-known ingredient of some silver cleaning solutions where it is used to both clean and protect the silver surface.

Niiró solution

The ni-iro-eki (boiling-color-solution) is known by a number of names, including nikomi-chakushoku, ni-age, and ni-iro. In the West it has often been referred to as rokusho, one of its principle ingredients.

Oguchi lists solutions from “Kinko Seisakuho” published by Tokyo Geidai Professor Shimizu in 1937.¹⁵ The solutions are very close to the formulas listed in subsequent metalwork technical manuals and were probably the source for these books.¹⁶ The main components of the solutions are rokusho and copper sulfate with a variety of additions including alum, rice vinegar, pickled plums and plum vinegar. Oguchi achieved best success coloring copper to a bright red/brown color using a solution with addition of plum vinegar (up to 5ml per liter). In this study we use a solution of 5g rokusho, 5g copper sulfate and 5ml plum vinegar as a standard solution, having previously used this with success for studio work. Our aim is to find a solution that will produce a good red/brown on copper, even grey tones on shibuichi, a black or blue/black on shakudo and an even white color on fine silver.

Rokusho is an artificial verdegriis that was traditionally produced in Japan by the application of vinegar on copper sheet.¹⁷ It is commonly used in traditional Japanese painting as a pigment. Notis identifies rokusho as basic copper acetate $\text{Cu}(\text{C}_2\text{H}_3\text{O}_2)_2 \cdot 2\text{Cu}(\text{OH})_2$.¹⁸ Pijanowski suggests a method of producing rokusho from copper acetate, calcium carbonate and sodium hydroxide.¹⁹ The rokusho used for this study was “Genkyo brand best powdered pigment rokusho,” a popular brand among metalsmiths.

Plum vinegar is a by-product of the production of umeboshi pickled plums. The vinegar contains citric acid and a high salt content. The plum vinegar used for tests was produced by Chinriu Japan, with ingredients listed as plums and salt.

The niiró solution is heated in a copper or glass container. Distilled or filtered water should be used. The solution is boiled once to dissolve the ingredients. As water evaporates during the process, the container should be refilled to the original level. The workpiece is carefully suspended in the solution. This is commonly done using a copper, plastic or wooden support or a bamboo basket. Surfaces in contact with the workpiece are wrapped with cotton to prevent the

There is no standard practice with regard to the temperature of the niiro solution, with craftspeople and researchers using temperatures from body temperature up to boiling point. Lower temperatures (40°C/104°F) are usually recommended for the patination of shibuichi and brass.

Most of the published research in this area has focused on the shakudo alloy with a small amount of work on shibuichi, copper and brass.²⁰ Recent work by Kitada et al. provides useful information on microstructures. A study of niiro patinated copper showed that the surface consisted of a double layer chemically, a thin upper layer of copper (II) oxide such as CuO and an under layer of copper (I) oxide such as Cu₂O.²¹ The colored layer in shakudo was described as crystalline and amorphous Cu₂O with a crystal size of 30-80nm. Fine Au particles with 2-5nm diameters are distributed in the Cu₂O layer. The coloring is mainly due to light absorption and reflection by the fine Au particles distributed in the Cu₂O layer.²² A study of a 20%Ag shibuichi alloy found that the colored layer consisted of crystalline and amorphous Cu₂O and Ag nanograins of various shapes distributed in the Cu₂O matrix.²³

Three casting techniques were compared for the production of our candidate alloys: continuous casting, casting into a steel mold, and casting into a cloth mold in hot water. The alloys were cast from 99.99 Au and Ag and oxygen-free copper (CW008/C103), and best practice for workshop casting was followed.²⁴ In this study we have focused on the production of three alloys: 98Cu2Au shakudo, 50Ag50Cu shibuichi and a 75Cu25Ag shibuichi.

Alloys were cast using an Indutherm CC 1000 machine at Capella Manufacturing Ltd, UK, melting under argon atmosphere at a temperature of 1100°C (2012°F). The alloys were subsequently cleaned in a 10% sulfuric bath and rolled, annealing at 650°C (1202°F) in a nitrogen atmosphere between stages.

Steel Mold casting

The shibuichi alloys were melted in a small induction furnace, the shakudo alloy was melted in a resistance furnace, both at a superheat of 100°C (180°F) over liquidus. 500g ingots of all alloys were produced. The shakudo alloy was stirred with a graphite rod during the melting process. The melt was covered with graphite chunks and poured into a lightly oiled steel mold heated to a temperature of 100°C (212°F). The surface of the ingots was milled clean. The ingots were then hammer forged before torch annealing to a dull red heat and quenching in cold water. The ingots were cleaned in a 10% sulfuric bath and then rolled, torch annealing between stages.

Water casting

The alloys were melted as described for steel mold casting. They were then poured into a cotton mold in the hot water bath. The water was heated to a temperature of 70°C (158°F), the thick sailcloth cotton mold was at a depth of 9cm. The water was agitated before and during pouring and solidification. Any irregularities on the surface of the ingots were ground back to clean metal. The ingots were hammer forged twice before rolling. The ingots were torch annealed to a dull red heat, and cleaned in a 10% sulfuric bath between stages.

Polishing technique

The samples for patination were polished with magnolia charcoal, paulownia charcoal, pumice and finally silicon carbide (1200 grit). Magnolia and paulownia charcoal blocks were dipped in water and rubbed on the metal. The pumice and silicon carbide were mixed into a paste with water and rubbed on the metal with a cotton cloth using a linear motion. The samples were then cleaned with sodium bicarbonate and water to remove traces of the polishing media.

Patination technique

After polishing, the test pieces were dipped in a 10% sulfuric acid/H₂O bath and then rinsed in H₂O before immersion in the niiro solution. Pieces that were being tested with daikon were dipped in the grated daikon immediately prior to immersion in the niiro solution. The test pieces were suspended from copper wire in the glass vessel. The solution was constantly agitated with an auto stirrer. After patination the test pieces were rinsed in water and then dried using hot air and cotton wool to prevent the formation of tide marks.

LABORATORY TECHNIQUES

Scanning Electron Microscopy (SEM)

Scanning electron microscopy (SEM) was used to observe the microstructures of the alloys and the appearance of the niro surfaces. Quantitative elemental analysis of the regions of interest was carried out using energy dispersive x-ray analysis. SEM was carried out using an FEI XL30 ESEM instrument equipped with an Oxford Instruments INCA energy dispersive x-ray analyzer (EDX). Imaging was primarily conducted in back scattered electron (BSE) mode, which provides contrast based upon the average atomic number and thus gives good contrast between the phases in the microstructure. EDX was used on the microstructures to quantify the composition of the phases present. On the niro surface EDX was used to measure the elements present at the surface of the patinated alloys.

X-ray Diffraction

X-ray diffraction (XRD) was used to identify the phases present in the alloys. XRD gives crystallographic information on the phases present and can also provide information on the effect of rolling in terms of the alignment of certain crystal planes with the rolling direction. XRD was carried out on a PAN Analytical MPD diffractometer using Cu K radiation.

XRD was used in the glancing angle configuration in order to identify the phases present at the surface of the experimental niro materials. In this configuration the incident x-rays were directed at the sample with an incident angle of 1° which makes the resulting diffraction pattern more sensitive to phases present only on the surface, e.g., surface oxides or sulfides etc.

X-ray Fluorescence

X-ray fluorescence (XRF) was used to quantitatively measure the elemental content of the traditional rokusho materials. XRF was carried out on a Philips MagixPro spectrometer. XRF has a sensitivity level of the order of ppm and was used in order to discern both the bulk composition and the low presence of any undesirable elements such as lead or arsenic. However, XRF cannot detect H, C, O and N directly and in order to determine the copper acetate content, a series of tests were carried out after drying ($120^\circ\text{C}/248^\circ\text{F}$) and burn-off ($600^\circ\text{C}/1112^\circ\text{F}$) in which the weight losses were recorded in order to determine the volatile/organic content of the rokusho. The composition of the rokusho was estimated from a combination of the weight loss data and the XRF composition.

Casting Quality

Three casting techniques were used to produce the three alloys. The quality of the castings was assessed in several ways, including ingot shape (and suitability for subsequent rolling), surface finish and color (an indication of surface

The alloys cast in the workshop using steel molds showed good mold fill and hence the resulting ingot replicated the mold geometry. The density of the steel mold castings showed that there was porosity present at between 2% for 50-50 and 25-75 materials and 4% for 98Cu2Au. Sections through the ingots showed that the porosity was present along the center line of the casting and concentrated towards the top of the ingot. The pores appeared to be relatively large (0.5mm-2mm) and infrequent.

The shape of the water cast ingots was a 'pebble' shape with a smooth convex surface in contact with the muslin and a rougher top concave surface. They also exhibited lower than theoretical densities, which indicated porosity levels of ~2% for the 25-75 and 50-50 alloys and ~7% for the 98Cu2Au alloy. Sectioning of the ingots revealed more numerous pores with a much smaller size (max. ~0.5mm) than the steel mold cast material. The porosity was concentrated towards the top surface of the ingot.

Casting porosity can take two common forms: gas porosity, caused primarily by dissolved hydrogen in the molten metal escaping on cooling, or shrinkage porosity, where there is no available pool of liquid metal to fill a void formed by the shrinkage of the solidifying material. The basic melting methods and the turbulent nature of the metal pouring in both workshop techniques make it likely that there is a significant amount of dissolved gas in the molten metal. The relatively rapid cooling in both the workshop techniques also makes shrinkage porosity more probable.

In the continuous casting process the melt is purged with inert gas to prevent hydrogen solubility and hence minimize the chance of gas porosity. The solidification process is also well controlled and thus shrinkage porosity is also less likely.

Rolling (4x 25-50% reduction) with annealing between each step appeared to improve the density of the alloys, suggesting that the rolling process was compressing and eliminating the pores. However, it was clear that they were not completely eliminated in all cases as some rolled sheets exhibited bubbles or blisters after the annealing process. Such blisters are detrimental to the production of decorative work.

Work hardening of the alloys during rolling was significant as shown in Table 3. Annealing at 650°C (1202°F) in a reducing atmosphere of N₂ with 5% H₂ on a belt furnace for 30 minutes was sufficient to anneal the alloys fully.

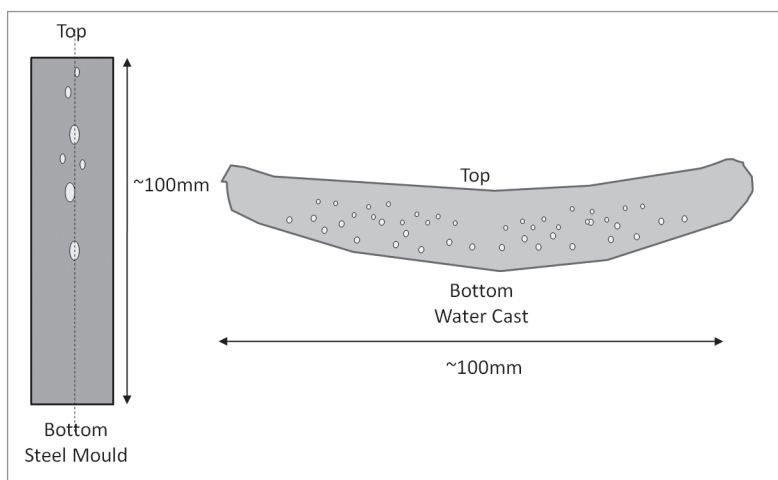


Figure 1 Schematic showing the approximate position and relative size of porosity observed in the workshop cast materials

Microstructure

The microstructures as recorded using SEM in atomic number contrast mode are shown in Figures 2, 3 and 4. For the shibuichi (Ag-Cu) alloys the microstructures are shown at low and high magnifications.

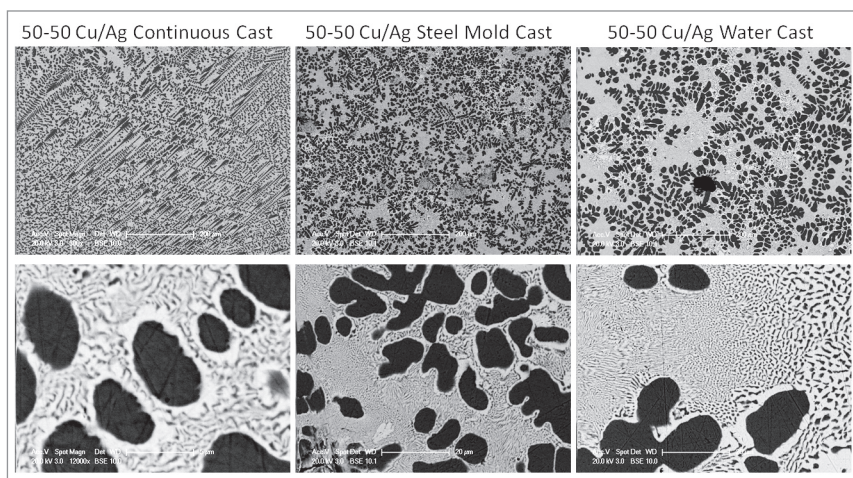


Figure 2 SEM images of the microstructure of 50-50 shibuichi alloys cast by different methods (top row = low magnification, bottom row = high magnification)

Typical porosity is shown in Figure 6. Spherical pores are most likely caused by gas porosity, while non-spherical porosity is more likely to be shrinkage porosity.

Rolling had a significant effect on the microstructure as is shown in Figure 7. In terms of the influence such a rolled structure may have on the appearance of niiró, the elongation of both phases in the Ag-Cu alloys will make the apparent surface size of a Cu-rich grain or a eutectic region larger and thus potentially more visible to the naked eye when compared with the relatively small as-cast structure.

2. Magnolia charcoal block - paulownia charcoal block - pumice - paulownia (powdered) - tonoko - tsunoko. $S_a=65\text{nm}$

SiC appears to be a good final finish material but there seems to be a contradiction between the above result and those given in Table 4 and Table 5. Tsunoko also appears to produce a less effective polish when used in sequence than when used singly.

It was noted that the surfaces of the SiC-polished materials appeared to have SiC particles embedded in the surface. This was later confirmed using SEM and EDX, which detected significant amount of Si present on the SiC-polished surfaces. SiC is a particularly aggressive abrasive due to its extreme hardness (HV~2500-3000) and angular morphology. When used on soft substrates it can have a tendency to embed in the material being polished, and it is then difficult to remove. Embedded SiC can significantly affect the result for surface texture (S_a) as the particles stand proud of the surface or, if removed, form small craters. Variations in the hardness of the copper sheet and the pressure applied during hand polishing can lead to different levels of SiC being embedded and thus a different result for S_a . For example, in this study values of S_a when using SiC on copper have been observed between 50 and 130nm.

Rokusho Analysis

Samples of rokusho obtained from Japan were analyzed using XRF and XRD. The weight loss of the sample at 120°C (248°F) and 600°C (1112°F) in air was 10 wt.% and 50 wt.%, respectively. This was taken to mean that the samples contained ~10 wt.% H_2O and that at 600°C (1112°F) the weight loss was due to the burning off of the acetate portion ($(\text{CH}_3\text{OO})_2$) of the copper acetate. XRF analysis resulted in the composition given in Table 6.

Table 6 Quantified elemental content of rokusho from XRF

Element	Mass%	±
Cu	32.2	0.1
Ca	26.8	0.2
Cl	18.9	0.2
Na	10.7	0.3
Zn	6.0	0.1
Pb	1.7	0.1
Sn	1.5	0.1
P	0.4	0.01
As	0.3	0.1
K	0.3	0.1
Si	0.3	0.01
Mg	0.14	0.01
Sb	0.14	0.03
Ni	0.14	0.01
Fe	0.13	0.01
Al	0.10	0.01
Other	0.25	-

X-ray Diffraction (XRD) of the green rokusho material revealed that the primary crystalline phases present were calcium carbonate (CaCO_3) and sodium chloride (NaCl). Any copper acetate that was present must have been present in the amorphous state as it was not detected by XRD. “There is broad hump at $\sim 17^\circ 2\theta$ ”, which may be the copper acetate, but the XRD database could not identify it.

Assuming that the copper acetate was present as the hydrated form $\text{Cu}(\text{CH}_3\text{OO})_2 \cdot \text{H}_2\text{O}$ and that all the acetate had burnt off at 600°C (1112°F), then the composition for rokusho given in Table 7 was obtained (considering only constituents present above 1 wt.%).

Table 7 Calculated rokusho composition

Compound	mass %	mass % (no Zn, Pb or Sn)
$\text{Cu}(\text{CH}_3\text{OO})_2 \cdot \text{H}_2\text{O}$	55%	53%
CaCO_3	34%	33%
NaCl	14%	13%
ZnO	4%	
PbO	1%	
SnO_2	1%	

NIIRO RESULTS

XRD results

Glancing Angle XRD was used to determine the phases which were present on the surface of alloys subject to niiro patination and using various niiro solutions and conditions.

Solution #1: 5g Rokusho/5g CuSO₄/1l H₂O

In this solution, which used the traditional Japanese sourced rokusho and omitted the plum vinegar, pure copper (sample C1) exhibited a strong signal from Cu_2O (cuprite). Shakudo (98Cu2Au) also exhibited a strong signal from Cu_2O . The XRD traces are shown in Figure 9.

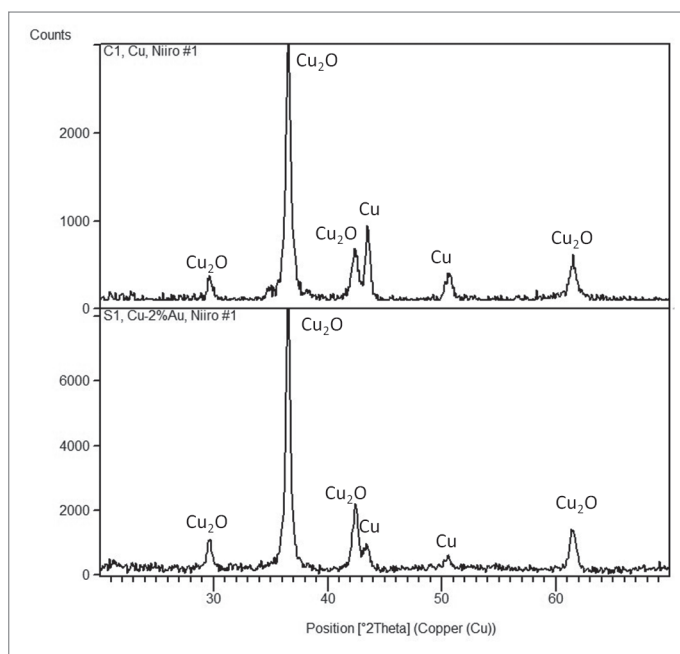


Figure 9 The glancing angle XRD trace from copper (C1, top) and shakudo (S1, bottom) patinated in Niirō #1 showing the formation of cuprite (Cu_2O)

The 50-50 Ag-Cu alloy (F3) subject to the same solution exhibited strong signals from Ag (actually the Ag-rich phase in the alloy) and Cu₂O. A 25-75 Ag-Cu alloy (T3) treated using this solution also exhibited Ag, Cu₂O and Cu from the substrate as shown in Figure 10.

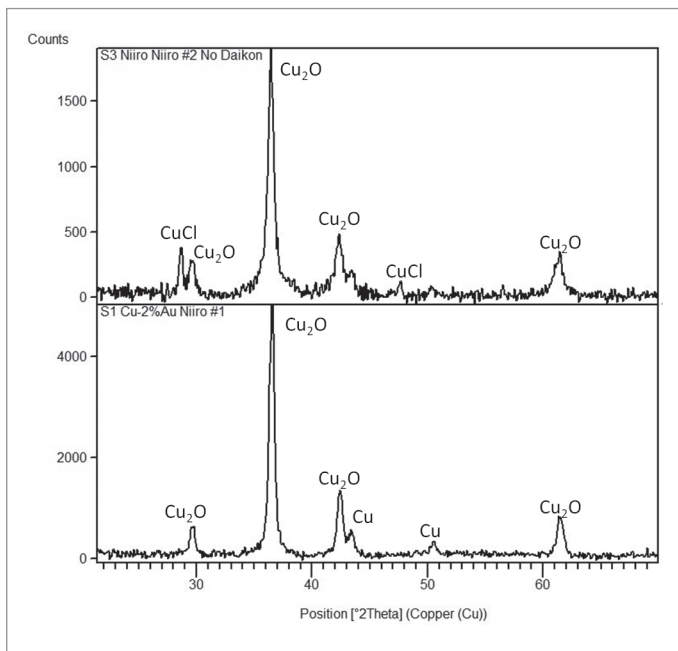


Figure 11 The glancing angle XRD trace comparing shakudo patinated using Niiro #2 (S3) with Niiro #1 (S1) showing the formation of CuCl in the case of Niiro #2

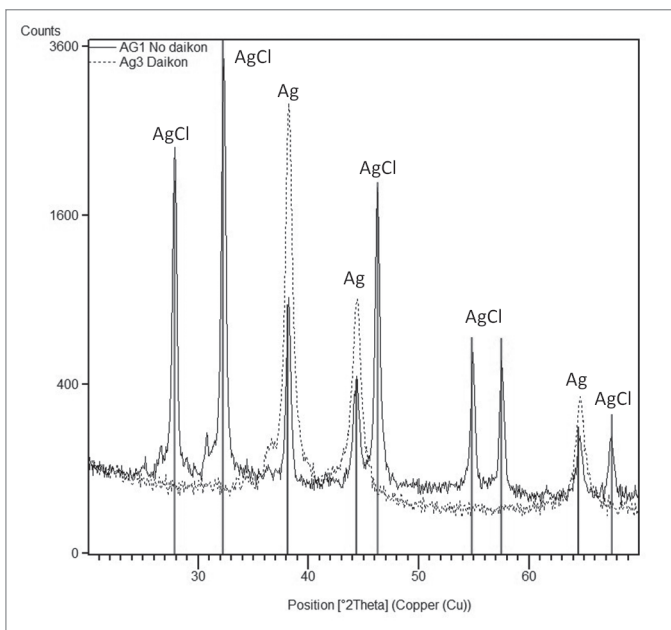


Figure 12 The glancing angle XRD traces for fine silver patinated in Niiro #2 with (Ag3) and without (Ag1) a daikon pre-treatment showing the formation of AgCl

Table 9 Color measurement data for the various alloys and niiro solutions

Material	Sample	Niiro Solution	Time (mins)	Daikon (Y/N)	a*	b*	L*
Fine Silver	Ag1	2	30	N	7.3	14.5	60.0
	Ag2	2	30	Y	1.7	12.9	83.0
	Ag3	2	30	Y	2.3	17.2	81.0
	Ag4	2	30	N	8.5	14.8	60.0
Copper	C1	1	60	N	9.5	8.3	47.0
	C3	2	60	N	16.2	19.6	51.0
	C5	2	90	N	18.5	16.7	49.0
	C6	2	120	N	14.9	14.1	49.0
	C10	2	180	N	15.6	15.4	52.0
	C14 (2001)	-	-	-	14.8	8.1	36.0
	C15	4	120	N	31.3	24.8	46.0
	X1	2	120	N	21.5	15.6	48.0
Shakudo	S1	1	60	N	-0.2	-4.7	46.0
	S3	2	60	N	0.1	-3.9	43.0
	S6	4	120	N	3.5	-6.0	47.0
Shibuichi 25-75	T1	1	30	N	2.1	5.8	43.0
	T3	1	30	Y	2.8	7.6	49.0
	T2	2	30	N	3.1	9.7	53.0
	T4	2	30	Y	3.7	12.3	53.0
	T-X3	1	120	Y	0.9	4.0	55.9
Shibuichi 50-50	F1	1	30	N	3.7	14.5	54.0
	F2	2	30	N	2.1	12.0	55.0
	F3	1	30	Y	2.9	9.8	56.0
	F4	2	30	Y	3.2	14.4	59.0
	F-X3	2	120	Y	1.7	7.3	67.1

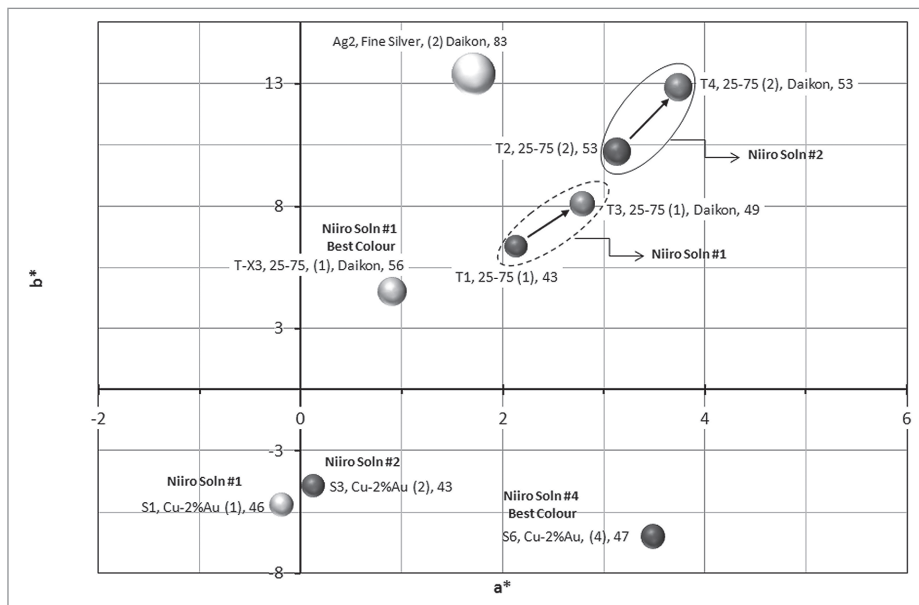
Figure 1 is a color space plot showing the relationship between achromaticity (a^*) and chroma (p^*). The y-axis represents p^* (Chroma) and ranges from 0 to 30, with an arrow pointing upwards labeled "Yellower". The x-axis represents a^* (Achromaticity) and ranges from 0 to 30, with an arrow pointing to the right labeled "Redder".

The plot displays several data points representing different color samples, with Niirō Soln #1 and Niirō Soln #2 highlighted by dashed lines. The data points are labeled as follows:

- Ag2, Fine Silver, (2) Daiton, 83
- Ag1, Fine Silver, (2), 60
- C1, Cu, (1), 47
- C3, Cu, 60m (2), 51
- C5, Cu, 90m (2), 49
- C6, Cu, 120m (2), 49
- C10, Cu, 180m (2), 52
- C14, Japanese Cu Niirō 2001, 36
- X1 Cu, (2), 48
- Niirō Soln #1
- Niirō Soln #2
- Niirō Soln #4
- C15, Cu, 120m (4), 46

Figure 14 shows the data for both fine silver and several pure copper samples. For fine silver there is a significant difference in the color produced when the piece is treated with daikon prior to immersion in the niiro solution. When daikon is used prior to immersion in niiro the piece is brighter ($L^*_{\text{daikon}} = 83$ compared with $L^*_{\text{no-daikon}} = 60$) and b^* is reduced by 2 and a^* by ~6.

The copper alloys patinated using Niir #2 had higher a^* and b^* values, suggesting a paler orange color (increased red and yellow). There does not appear to be a systematic change in color with time of immersion between 60 (C3) and 180 minutes (C10). Time alone does not appear to result in deeper reds, e.g., C6 and C10.



For 25-75 Ag-Cu alloys there is a significant difference in color between the use of Niiro #1 and Niiro #2. Niiro #1 generally produced a less yellow alloy (lower b^*) than Niiro #2. Sample T-X3, where the shibuichi was welded to other alloys in a strip, was considered to be the best sample with a clean grey color (not yellow or brown). Unlike fine silver, where the use of daikon reduced both the a^* and b^* values, here the use of daikon appeared to produce an increase in a^* (red) and b^* (yellow). Arrows in the figure indicate the color shift from daikon to no daikon.

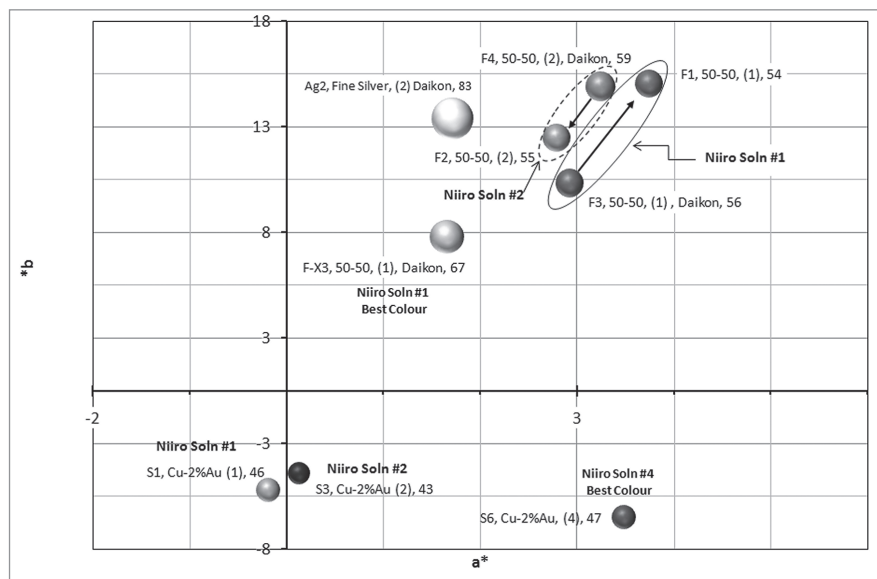


Figure 16 Graph showing the color of fine silver (Ag2), shakudo (S1, S3, S6) and shibuichi 50-50 (F1-F4, F-X3) samples on the a^* , b^* color map. L^* is represented by the diameter of the circle and in the data point label.

For 50-50 Ag-Cu alloys there was very little difference between the use of Niiro #1 and Niiro #2. The effect of daikon in Niiro #1 was to reduce a^* and b^* (as seen for fine silver) but for Niiro #2 the opposite was true (increased a^* and b^*), as indicated by the direction of the arrows on the graph. Sample F-X3, where the shibuichi was welded to other alloys in a strip, was considered to be the best sample with a clean grey color (not yellow or brown).

Correlation between Color and Surface Composition

The results from the glancing angle XRD results indicate that in the case of Niiro #1, only Cu_2O is formed on the surface of the alloys. Ag does not appear to react with Niiro #1. However, under the conditions used here Niiro #1 did not produce 'good' colors on copper. Even though it contains NaCl, no chloride-forming reaction was active in Niiro #1.

When using Niiro #2 (with added plum vinegar), XRD detected significant chloride formation. Fine silver not treated with daikon produced silver chloride (AgCl), which was light brown in visual appearance and is seen in the color results as a significant shift in the a^* value towards the red and a large drop in L^* , e.g., Ag1 in Figure 14.

On copper-containing alloys patinated with Niiro #2, copper chloride (CuCl) was also observed, and the color change caused by the chloride consisted of an increase in a^* (red) and b^* (yellow), which was more marked on the pure copper than on the shakudo. Under the conditions used here, Niiro #2 did not produce the best colors but some were acceptable, e.g., copper X1 and shakudo S3.

COLOR RESULTS AND SURFACE COMPOSITION.

Extensive patination trials on copper, shakudo, and the two shibuichi alloys have been carried out in workshop conditions.

When traditional niiro compositions are used without the addition of plum vinegar, the surfaces of copper-containing alloys develop a thin layer of Cu_2O (cuprite). There was no systematic change in color with increasing immersion time (60 to 180 minutes) on copper.

Colors achieved on copper using niiro with plum vinegar were regarded as preferable in terms of their aesthetic appearance and comparison with other traditional Japanese work, one piece of which was measured here.

The addition of plum vinegar to niiro has a significant effect on the surface composition, with both copper and silver chlorides present in significant amounts. The presence of chlorides has a large effect on the colors, generally adding a browner tinge to the patination of fine silver and shibuichi alloys, which is not desirable.

Shibuichi niiro colors are always closer to the color of fine silver niiro despite 25 to 50% of the alloy being copper. The shibuichi surfaces develop either Cu_2O or ($\text{Cu}_2\text{O} + \text{CuCl}/\text{AgCl}$) and are never observed to form silver oxides.

On fine silver a light brown silver chloride layer is formed. However, if the piece is rubbed with grated daikon prior to immersion, then the formation of silver chloride is virtually eliminated. It appears that the daikon is acting as an inhibitor to the formation of silver chlorides while leaving the copper still able to oxidize. It probably has little effect on copper or shakudo. Work is under way to determine if thiourea could be a suitable substitute for daikon in this work.

Niirro solutions made up from laboratory-grade materials containing only copper acetate and copper sulfate did not produce acceptable colors on copper and shakudo. However, with Niirro #4 (which included NaCl), the good colors were produced on copper (red/brown) and on shakudo (blue/black). These colors have been quantified using the $L^*a^*b^*$ system for future reference.

CONCLUSIONS

- Continuous cast shakudo and shibuichi alloys offer an alternative to workshop cast materials without the inherent problems of workshop casting, i.e., porosity and problematic cast ingot shape.
- The final polish finish achievable using traditional materials such as tonoko and tsunoko can be replicated by the use of SiC grit 1200. However, the embedding of the SiC in the surface can give rise to variability in final finish. Alternative abrasives such as Al_2O_3 and SiO_2 should be considered as alternatives.
- Japanese rokusho has been found to contain copper acetate, sodium chloride (common salt) and calcium carbonate as its main constituents. The presence of salt and calcium carbonate has not been reported extensively in the previous literature on the subject.

- Lead, tin and zinc are also present in rokusho at significant levels, probably as a result of the use of brasses and/or bronzes in the production method. The presence of Pb (and other heavy metals) could be a barrier to the more widespread use of niiro in the West and the development of a 'safe' commercial-grade niiro is desirable.
- The application of grated daikon radish to the alloys before patination does not appear to encourage even patination growth. The daikon does, however, reduce the formation of silver chlorides on fine silver during niiro patination.
- A systematic method of recording and quantifying the colors produced by niiro patination has been developed and a database of alloys, niiro compositions, conditions of immersion and colors has been produced and will be used in further work and in the comparison with other research.
- The addition of plum vinegar to niiro with NaCl results in chlorides forming on the surfaces of fine silver and shibuichi alloys, to the detriment of the color.
- When using laboratory-grade niiro, the presence of NaCl was found to be necessary for the production of good colors. The best colors on copper (red/brown) and shakudo (blue/black) were produced using a niiro-containing laboratory-grade copper acetate, copper sulfate and sodium chloride with plum vinegar and calcium carbonate omitted.

ACKNOWLEDGEMENTS

The authors wish to thank the staff of Tokyo Geidai, in particular Professors Ryohei Miyata and Ikuo Shinohara. Thanks to Chris Corti and Mark Grimwade for advice on casting procedures, and thanks to Bob Burton for XRF analysis.

This research was supported by the Arts and Humanities Research Council and The Great Britain Sasakawa Foundation.

REFERENCES

1. Paul Craddock and Alessandra Giumlia-Mair, "Hsmn-Km, Corinthian bronze, shakudo: black patinated bronze in the ancient world," *Metal plating and patination*, ed. Susan La Niece and Paul Craddock (Oxford, UK: Butterworth-Heinemann Ltd, 1993).
2. R. Murakami, S. Niyama, and M. Kitada, "Characterization of the black surface layer on a copper alloy colored by traditional Japanese surface treatment," *The Conservation of Far Eastern Arts*, Preprints of the IIC Congress, Kyoto, ed. J.S. Mills, P. Smith, Kazuo Yamasaki (London: IIC, 1988): 133-136.
Victor Harris, "Why Shakudo," *Metal plating and patination*, ed. Susan La Niece and Paul Craddock (Oxford, UK: Butterworth-Heinemann Ltd, 1993).
3. Personal communication from Harada Kazutoshi, Tokyo National Museum.

4. William Gowland, "Metals and Metal-working in Old Japan," *Japanese Crafts, Materials and their applications*, ed. B. Hickmann, (London: Pine Books Limited, 1977), 59-168.
5. Hachiro Oguchi, "Experimental Studies on Japanese Traditional Coloring Copper," *Bulletin of the Faculty of Fine Arts, Tokyo Geidai* No. 8, (September 1972): 23-83.
6. Charles L Venable, *Silver In America, 1840-1940: A Century of Splendor*, Dallas Museum of Art (New York: H.N.Abrams, 1995): Chapter 6.
7. Akiko Nakatani, "Sensory Evaluation of Shakudo over time depending on its different compositions," *Bunkazai Hozo-syuhuku Gakkaisi* 46 (2002): 1-13.
8. Masuda Tsuna, *Kodo Zuroku* (Connecticut: Burndy Library, 1983): 84.
9. William Gowland, "A Japanese psuedo-speise (shiromé), and its relation to the purity of Japanese copper and the presence of arsenic in Japanese Bronze," *The Journal of the Society of Chemical Industry* (May 31, 1894): 463-471.
10. Ito Hirotooshi, *Tankin: Ito Hirotooshi no Sekai*, (Tokyo: Tokyo Geijutsu Daigaku Bijutsugakubu, 2002).
Gowland, Willliam, "Art of Working Metals in Japan," *Journal of the Institute of Metals* Vol. IV (1910): 26-30.
11. Kazuo Sekii, "The buried something in Japanese metal craft," *Tama Bijutsu Daigaku Research Bulletin*, 20 (2005): 253-267.
12. Richard Hughes and Michael Rowe, *The Coloring, Bronzing and Patination of Metals* (New York: Watson-Guptill/Whitney, 1991): 350.
13. Kunio Okano, Jiro Asano and Gensho Ishii, "A Rapid Method for Determining the Pungent Principle in Root of Japanese Radish (*Raphanus sativus* L.)," *J. Japan. Soc. Hort. Sci.* 59, 3 (1990): 545-550.
14. Atle M. Bones and John T. Rossiter, "The enzymic and chemically induced decomposition of glucosinolates," *Phytochemistry* 67 (2006): 1053-1067.
Jed W. Fahey, Amy T. Zalcmann and Paul Talalay, "The chemical diversity and distribution of glucosinolates and isothiocyanates among plants," *Phytochemistry* 56 (2001): 5-51.
15. Hachiro Oguchi, "Experimental Studies on Japanese Traditional Coloring Copper," *Bulletin of the Faculty of Fine Arts, Tokyo University of Arts* No. 8 (September 1972): 23-84.
16. Asoo Mitsui, Eiro Niiyama et al., *Chokin to Tankin no giho* 1, 4th ed. (Tokyo: Nihon Kinko Sakka Kyokai-hen, 1973).
Masahiko Katori, Toshio Io et al., *Kinko no dento giho* (Tokyo: Rikogakusha, 1986).
Yutaka Nagano and Kenji Io, *Kinko no chakushoku giho* (Tokyo: Rikogakusha, 1998).

17. For similar production methods see: J.M. delaRojaa, V.G. Baonza and M. SanAndresa, "Application of Raman microscopy to the characterization of different verdigris variants obtained using recipes from old treatises," *Spectrochimica Acta Part A* 68 (2007): 1120–1125.
18. Michael R. Notis, "The Japanese Alloy Shakudo: Its History and Patination," *The beginning of the use of metal and Alloys, 1988*, ed. R. Maddin (London: MIT, 1988): 315–327.
19. Hiroko Sato Pijanowski and Eugene M. Pijanowski, "Lamination of Non-Ferrous Metals by Diffusion: Adaptions of the Traditional Japanese Technique of Mokume-Gane (Wood Grain Metal)," *Metalsmith Papers* (Tampa, Florida: Society of North American Goldsmiths, 1981): Appendix B.
20. Hachiro Oguchi, "Japanese Shakudo, Its History, Properties and Production from Gold-containing Alloys," *Gold Bulletin* 16, no. 4 (1983): 125–132.

Ryu Murakami, "Japanese Traditional Alloys," *Metal plating and patination*, ed. Susan La Niece and Paul Craddock (Oxford, UK: Butterworth-Heinemann Ltd, 1993).

Masahiro Kitada, Mitsuhiro Horiguchi et al., "Microstructures and Optical Properties of Coloured Layer of Brass Fabricated by a Traditional Japanese Metal-art Technique," *Bulletin of the Faculty of Fine Arts, Tokyo University of Arts*, No. 34 (1999): 5–44.
21. Masahiro Kitada, Fumiyoshi Kirino et al., "Electrochemical Characteristics of Ni-ro-Colored Copper Colored By Japanese Traditional Craft-Technique," *J.Japan Inst. Metals* 66, no. 12 (2002): 1356–1361.
22. Masahiro Kitada, "Microstructures and physical properties of colored layer of Cu-4mass%Au alloy (Shakudou) fabricated by Japanese traditional technique," *J.Japan Inst. Metals* 69, no. 12 (2005): 1069–1076.
23. Masahiro Kitada, "Microstructures and optical properties of colored layer of Cu-20 mass%Ag alloy (Shibuichi) prepared by traditional Japanese technique," *J.Japan Inst. Metals* 71, no. 2 (2007): 295–303.
24. Anthony P. Eccles, "Alloying in the Small Workshop," *The Santa Fe Symposium on Jewelry Manufacturing Technology 1996*, ed. Dave Schneller (Lafayette, CO: Met-Chem Research, 1996).

Mark F. Grimwade, "Causes and Prevention of Defects in Wrought Alloys," *Gold Technology* 36 (Winter 2002): 12–15.

